



UNITED STATES PATENT AND TRADEMARK OFFICE

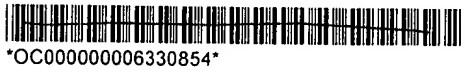
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/866,504	05/25/2001	David Funk	45295/DBP/C664

23363  
CHRISTIE, PARKER & HALE, LLP  
350 WEST COLORADO BOULEVARD  
SUITE 500  
PASADENA, CA 91105

CONFIRMATION NO. 4457

FORMALITIES LETTER



\*OC00000006330854\*

Date Mailed: 07/23/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1110.**

10/19/2001 MWOLDER1 00000024 031728 09866504

01 FC:101		740.00 OP
02 FC:104	40.00 CH	240.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Adjustment date: 10/19/2001 MWOLDER1  
10/16/2001 MBELETE1 00000019 09866504  
01 FC:101 710.00 OP  
03 FC:104 270.00 OP

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/16/2001 MBELETE1 00000019 09866504

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:104	270.00 OP



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on September 21, 2001.*

Alex Martinez

Applicant : David Funk  
Application No. : 09/866,504  
Filed : May 25, 2001  
Title : NETWORK ELEMENT MANAGEMENT  
  
Grp./Div. : 2661  
Examiner : N/A  
  
Docket No. : 45295/AAM/C664

**LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

P.O. Box 7068  
Pasadena, CA 91109-7068  
September 21, 2001

Commissioner:

In response to the Notice to File Missing Parts of Application dated **July 23, 2001**, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. Check for \$980.00, for the filing fee and a surcharge check for \$130.00.
3. Executed Declaration and Power of Attorney.
4. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

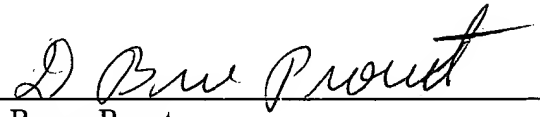
The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728.

**Application No. 09/866,504**

Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam  
Enclosures

AAM PAS382319.1-\* -9/21/01 2:41 PM